

JC

FROST BROWN TODD LLC

2200 PNC Center
201 E. Fifth Street
Cincinnati, Ohio 45202-4182
(513) 651-6800
Facsimile (513) 651-6981
www.frostbrowntodd.com

DAVID E. FRANKLIN
dfranklin@fbtlaw.com
(513) 651-6856

January 28, 2004

Facsimile no. 703-746-9195

Office of Initial Patent Examination's
Filing Receipt Corrections

Re: END-907—U.S. Patent Application Serial No. 10/615,972
SURGICAL INSTRUMENT WITH A LATERAL-MOVING ARTICULATION
CONTROL
FBT DOCKET: END907-0511043
Filing Date: September 9, 2003
Applicant: Walcs, Kenneth S.

Dear Sir/Madam:

Please correct the Attorney Docket No. on the Filing Receipt for the above application to read "END907-0511043", as indicated on the enclosed Filing Receipt, and issue a corrected filing receipt reflecting that correction.

When we submitted the Response to Missing Parts, the cover sheet indicated "END904", which was incorrect. The original Filing Receipt had contained the correct END number.

Thank you for your help in this matter.

Very truly yours,
FROST BROWN TODD LLC

By 
David E. Franklin

DEF/eam
Enclosure

CinLibrary/1365519.1

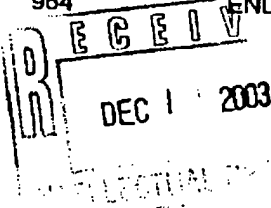


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/615,972	07/09/2003	3721	964	END904-0511043	14	15	4

FROST BROWN TODD LLC
 2200 PNC Center
 201 E. Fifth Street
 Cincinnati, OH 45202-4182



CONFIRMATION NO. 1293
 UPDATED FILING RECEIPT



OC000000011438908

Date Mailed: 12/08/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kenneth S. Wales, Mason, OH;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 10/02/2003

Projected Publication Date: 01/13/2005

Non-Publication Request: No

Early Publication Request: No

DOCKETED-IP

Initials

Date

[Handwritten signature]
 12/17/03

Title

Surgical instrument with a lateral-moving articulation control

Preliminary Class

227

LICENSE FOR FOREIGN FILING UNDER
Titl 35, United States Code, S ction 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).